

02-19-02

Express Mail Label No.: ET176335130US

Date of Deposit: February 15, 2002

Receipt

Attorney Docket No. 15966-554 CON-S14

(Cura-54 CON-S14)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

APPLICANTS: Shimkets *et al.*

MAR 05 2002

SERIAL NUMBER: 10/002,050

EXAMINER: Not Yet Assigned

TECH CENTER 1600/2900

FILING DATE: November 2, 2001

ART UNIT: 1632

FOR: NOVEL NUCLEIC ACID SEQUENCES ENCODING HUMAN SEMAPHORIN-LIKE POLYPEPTIDES

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

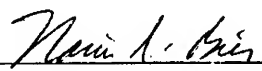
Transmitted herewith in response to the February 1, 2002 Filing Receipt in the present application are the following documents:

Request for Corrected Filing Receipt (2 pages);
Copy of Filing Receipt marked in red to show changes (2 pages);
Return Postcard.

Although Applicants believe that no fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 15966-554 CON-S14 (Cura-54 CON-S14). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

February 15, 2002


Ivor R. Elrifi, Reg. No. 39,529
Naomi S. Biswas, Reg. No. 38,384
Attorneys for Applicants
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

TRA 1631760v1



30623

PATENT TRADEMARK OFFICE

Express Mail Label No.: ET176335130US

Date of Deposit: February 15, 2002

Attorney Docket No. 15966-554 CON-S14

(Cura-54 CON-S14)

#7



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAR 05 2002

TECH CENTER 1600/2900

APPLICANTS: Shimkets *et al.*

SERIAL NUMBER: 10/002,050

EXAMINER: Not Yet Assigned

FILING DATE: November 2, 2001

ART UNIT: 1632

FOR: NOVEL NUCLEIC ACID SEQUENCES ENCODING HUMAN SEMAPHORIN-LIKE POLYPEPTIDES

Application Processing Division's
Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are incorrectly entered.

Error in	Correct data
1. <input type="checkbox"/> Applicants' name	
2. <input checked="" type="checkbox"/> Applicants' address	Richard A. Shimkets, Guilford, CT Corine Vernet, Branford, CT
3. <input type="checkbox"/> Title	
4. <input type="checkbox"/> Filing Date	
5. <input type="checkbox"/> Serial Number	
6. <input type="checkbox"/> Foreign/PCT Application Re:	
7. <input type="checkbox"/> Continuing Data	

8. ☒ Other: Attorney Docket No.:

15966-554 CON-S14 (Cura-54 CON-S14)

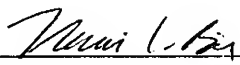
Attorney Information:

Ivor R. Elrifi
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111

3. ☒ Applicants believe that no fee is due. However, the Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to Deposit Account No. 50-0311, Ref. No. 15966-554 CON-S14 (Cura-54 CON-S14).

Respectfully submitted,

February 15, 2002


Ivor R. Elrifi, Reg. No. 39,529
Naomi S. Biswas, Reg. No. 38,384
Attorneys for Applicants
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

TRA 1631757v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	----------------	----------	------------	------------

10/002,050

11/02/2001

1632

740

15900-354
CON-S14

37

17

1

RECEIVED

(Cura-54 CON-S14)

CONFIRMATION NO. 5793

Ivor R. Elrifi

FEB 07 2002

FILING RECEIPT

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY AND POPOFF P.C.
One Financial Center
Boston, MA 02111MINTZ LEVIN, BOSTON
PATENT DOCKET DEPT

OC000000007411065

RECEIVED

MAR 05 2002

Date Mailed: 02/01/2002

TECH CENTER 1600/2900



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guilford

Richard A. Shimkets, West Haven, CT;
Elma Fernandes, Branford, CT;
Corine Vernet, Gainsville, FL; Branford, CT
Meijia Yang, East Lyme, CT;
Ferenc L. Boldog, North Haven, CT;
John L. Herrmann, Guilford, CT;

<input checked="" type="checkbox"/> Data Entry	Done By <i>Jon</i>
<input type="checkbox"/> Docket Entry	
<input checked="" type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req.	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Annuities	

Assignment For Published Patent Application

CuraGen Corporation, New Haven, CT;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/604,286 06/22/2000
WHICH CLAIMS BENEFIT OF 60/154,520 09/16/1999
AND CLAIMS BENEFIT OF 60/144,722 07/20/1999
AND CLAIMS BENEFIT OF 60/140,584 06/23/1999

Foreign Applications

If Required, Foreign Filing License Granted 02/01/2002

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

Title

Novel nucleic acid sequences encoding human semaphorin-like polypeptides

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).